

PROPOSAL INFORMATION QUICK REFERENCE

Sponsored Projects Services Web Site: <http://www.sps.arizona.edu>

Proposal Review Fax: (520) 626-4130

Administrative Official/ Fiscal Officer

Sherry L. Esham, Director
Sponsored Projects Services
University of Arizona
P.O. Box 3308
Tucson AZ 85722-3308

Authorizing Official for the University of Arizona

Leslie P. Tolbert, Ph.D.
Vice President for Research,
Graduate Studies and
Economic Development
University of Arizona
P.O. Box 3308
Tucson AZ 85722-3308

Express Mailing Address:

888 N Euclid Room 510
Tucson AZ 85719
Phone: (520) 626-6000
Fax: (520) 626-4137
E-mail: sponsor@email.arizona.edu

**Checks should be made payable to
THE UNIVERSITY OF ARIZONA** and mailed to:

U.S. Mail Payment Address:

University of Arizona
Sponsored Projects Services/Barsar
P.O. Box 3520
Tucson AZ 85722-3520

Express Payment Address:

University of Arizona
Sponsored Projects Services/Barsar
888 N Euclid Room 104
Tucson AZ 85719

Applicant/Applicant Organization: Arizona Board of Regents, University of Arizona

DHHS Rate Agreement Date: 05/14/09

Employer Identification Number (EIN): 74-2652689

DUNS #: 80-634-5617

Form 1411

Contract Administration Office:

Cognizant Audit Agency:

**(NASA and
DOD)**

Office of Naval Research
San Diego Regional Office
140 Sylvester Rd, Bldg. 140, Rm 218
San Diego CA 92106-3521
Telephone: (619) 221-5490

DHHS Audit Agency
Regional Inspector General for Audit
50 United Nations Plaza, Room 171
San Francisco CA 94102
Telephone: (415) 437-8360

Entity Identification Number (ALL NIH/PHS Proposals): 1-74-2652689-A1

Commercial And Government Entity (CAGE): 0LJH3

Contractor Establishment Code: 04-201-7848

SIC Code: 8221

NAICS Code: 611310

Office of Post-Secondary Ed. (Dept of Ed): 00108300

State Legislative District: 27th

House of Representatives/Congressional District: 7th

Animal Assurance Compliance: A3248-01 Effective 8/21/2007 – 8/31/2011

Human Subjects Assurance: FWA00004218 Expires 04/20/2012 **IRB Code:** 01NR

National Science Foundation (NSF) Organizational Code: 00-1083-5000 **FICE Code:** 00-1083

Tax Exempt Status of the University: Exempt from income tax under Section 115 of the Internal Revenue Code
State Tax License #: 20221243

Type of Organization: The University of Arizona is a land-grant STATE EDUCATIONAL INSTITUTION
Law passed 1862; established in 1885

State Clearing House: Effective 11/1/99 Box 16 of the SF424 should be marked "No" – "Program is not covered by E. O. 12372"

Supplemental Compensation Rate: NSF – 2/9's of the academic year salary
General University Policy – 3/9's of the academic year salary

Indirect Cost Rates

<u>Effective Period</u>	<u>Rate</u>	<u>Project Type</u>	<u>Location</u>
7/1/07 through 6/30/10	51.0%	Organized Research	On-Campus
7/1/10 through 6/30/11	51.5%	Organized Research	On-Campus
7/1/07 through 6/30/11	26.0%	Organized Research	Off-Campus
7/1/07 through 6/30/10	51.0%	Instruction	On-Campus
7/1/10 through 6/30/11	51.5%	Instruction	On-Campus
7/1/07 through 6/30/11	26.0%	Instruction	Off-Campus
7/1/07 through 6/30/10	51.0%	Other Sponsored Activity	On-Campus
7/1/10 through 6/30/11	51.5%	Other Sponsored Activity	On-Campus
7/1/07 through 6/30/11	26.0%	Other Sponsored Activity	Off-Campus

For periods after 6/30/11, use same rates and conditions as those cited for fiscal year ending June 30, 2011, until the rate agreement is amended.

Definition of Off-Campus: The off-campus rate is applicable to those projects that are conducted in facilities not owned or leased by the University. However, if the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used. A project is considered off-campus if more than 50% of its salaries and wages are incurred at an off-campus facility. If a project is determined to be off-campus, it shall be considered wholly off-campus. Separate on and off-campus rates will not be used for a single project.

Fringe Benefit Rates:

ERE RATES 7/1/09 – 6/30/10

Appointed & Faculty – Regular	27.3%
Faculty – Clinical	13.1%
Faculty – Ancillary	20.1%
Classified – Regular	41.1%
Classified – Temporary	8.7%
Student Employees	2.0%
Graduate Assistants*	36.6%
Federal Employees	19.8%

*The Graduate Assistant Rate is broken down into two components: 9.0% fringe and 27.6% tuition remission. Prepare proposal budgets excluding the 27.6% amount from F&A costs. This applies to MTDC bases only. Budgets with TDC or other bases will reflect F&A charged on all total direct costs, including tuition remission.

See [Rate Agreement](#) for more detailed information. The Current DHHS Rate Agreement date is **05/14/09**. This date changes every year before the beginning of the fiscal year (July 1) when the fringe benefit rates are negotiated.